Of Toolkits and Practice: Strengthening local governance responses through a Toolkit and the Adaptation Learning Highway



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For Planned Adaptation to be effective.....



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A fundamental requisite is a responsive local governance mechanism

This demands an understanding of:

- Community perceptions on change
- Impacts of such change on livelihoods
- Community responses & capability
- Institutional dependency & gaps in support system

And

An effective *two-way* information exchange mechanism for informed decision making and action



Reality Check – Community perceptions, capabilities, endowment & support needs



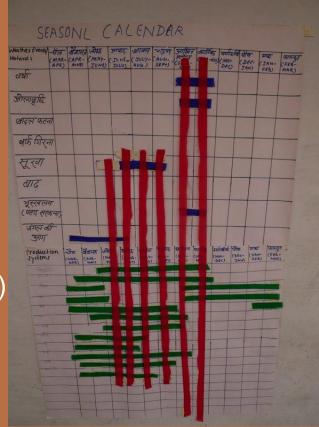
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Approach: Participatory Rural Appraisal

 Based on ICIMOD Strategic Framework on Climate Change Adaptation

Methodology: PRA Toolkit

- Weather perceptions (Seasonality, duration, change)
- Hazard Ranking (Weather & weather induced)
- Seasonal Calendar (Seasonal activities)
- Venn diagram (Institutional dependency)
- Seasonal Dependency (Support systems, Resource diversity & availability – assessing adaptive capacity)



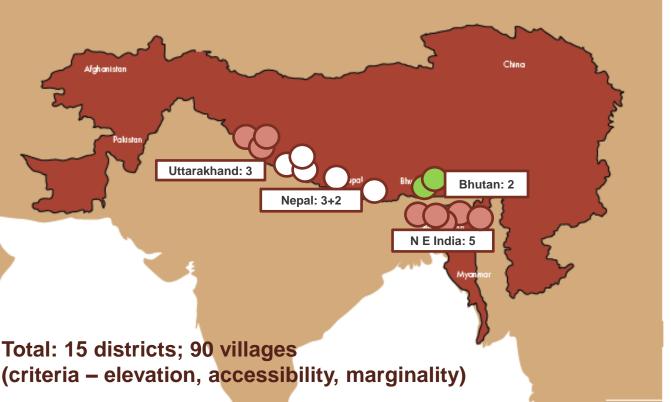
Participatory interpretation of PRA results :

Impacts, coping/adaptive mechanisms

Assessments: The canvas....(where and with whom)



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Elevation range: 50-3,500 m

6 IFAD Projects: Bhutan: AMEPP

India: Aajeevika, MRDS & NERCORMP

Nepal: WUPAP & LFLP

- Survey districts

 Bhutan
- Pemagatshel
 Trashi Yangste
- India
 Uttarakhand
 Almora
 Bageshwar
 Tehri
- NE India
 W Garo Hills
 E Garo Hills
 Ri Bhoi
 Karbi Anglong
 Ukhrul
- Nepal
 (Mid-west)
 Humla
 Bajhang
 Dailekh
 (West & East)
 Tanahu
 Terathum

Climate variability – community perceptions: major outputs



- Major weather events
 - Time
 - Duration
 - Intensity
- Comparison with past (historical recall)
 - Weather shifts
 - Changes
 - Intensity variations
- Weather induced hazards
 - Droughts, Flashfloods
 - Pests



Trends: Longitudinal and altitudinal change in annual duration of rainfall

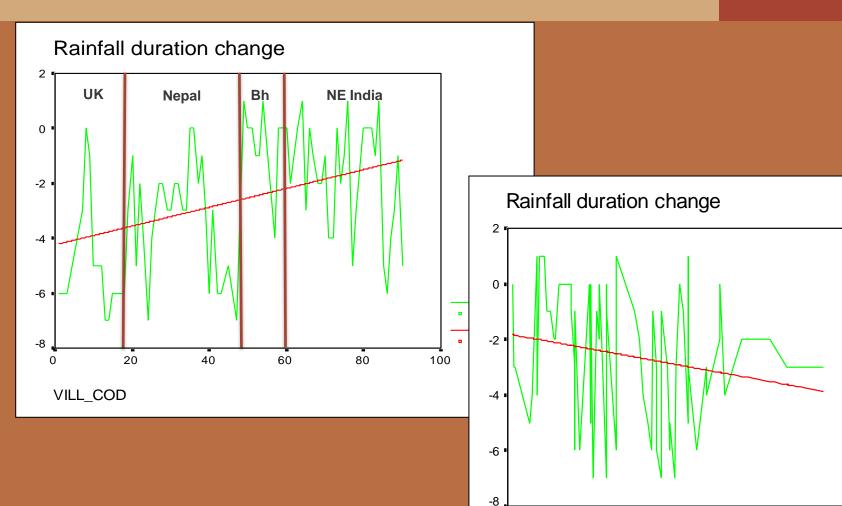


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Observed

Linear

4000



1000

elevations

2000

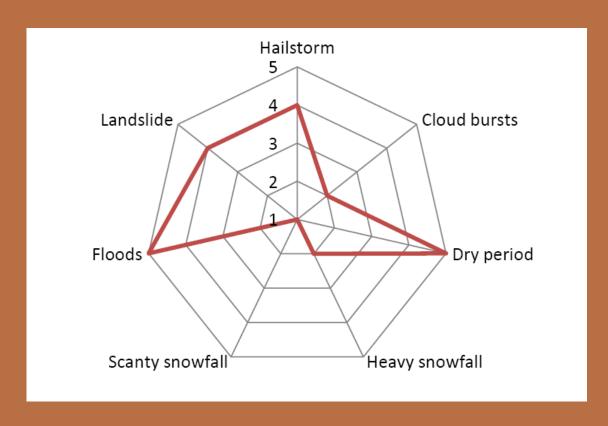
3000

Assessing exposure: Hazard ranking (Spider web)



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Community hazard ranking



Which weather events severely impact livelihood activities? Ranked by degree of severity of impact on a scale of 1 to 5

1 = 'negligible impact', 5 = 'strong impact'

Seasonal activity calendar



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Production systems	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	March
Agriculture												
Rice			S	Т	W	W	Н	Н				
Potatoes						LD	S	W	W	Н	Н	
Animal Husbandry			,				,					
Milk		FC						FC	FC	FC	FC	FC
Meat												
Wool												
Forest												
NTFP	С		С		C/S	C/S	C/S	S	S	C/S		

S= sowing; T= transplantation; w=weeding; H=harvesting; LD=land dev.; FC=fodder collection; c=collection; c/s= collection/selling

Assessing impacts & responses

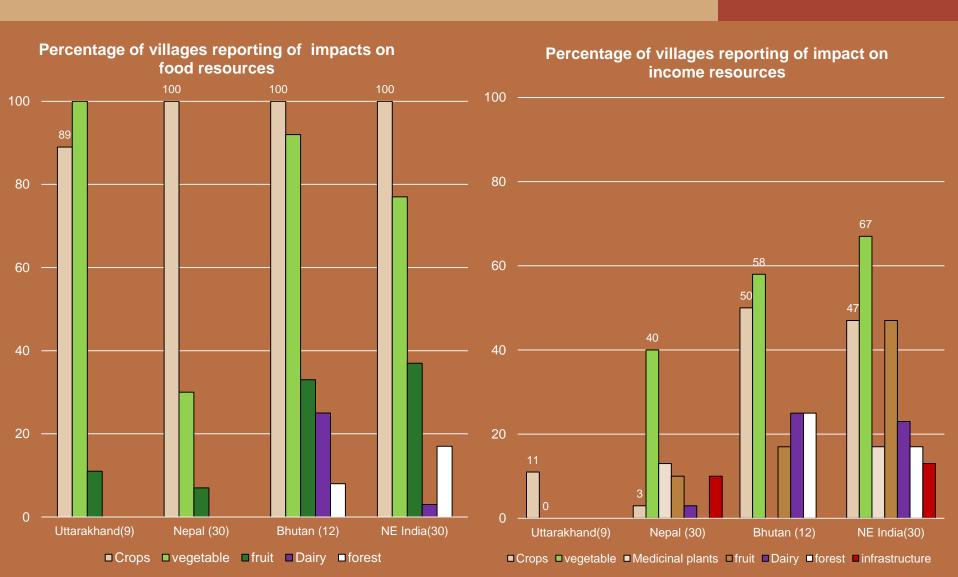




- Overlay seasonal weather events (Tool I) and seasonal activity matrix (Tool III) to identify
 - Impacts of major hazards (Tool II)
 - Responses (coping and adaptive mechanisms)(Iterative process)

Impacts on resources (Food & Income resources)





Community responses: Coping & adaptive mechanisms



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Coping mechanisms

- Agricultural practices
- •Shifts in agricultural calendar; changes in agricultural practices
- Alternative crops
- •Compliment income through nonagricultural resources (NTFP, minerals)
- Seasonal migration

Adaptive mechanisms

- Crop replacements
- Varietal replacements
- New opportunities (due to warmer weather)
- •New crops (Groundnut, beans); new cropping season
- Shift to smaller ruminants
- Occupational shifts (Farm to Non-farm)
- •Seasonal migration
- Long-term migration

Institutional Dependency (VENN diagram)



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Which institutions do households turn to during their time of need?

- Immediate relief
- Consumption needs
- Access to
 - Land resources
 - Credit needs
 - Extension
 - Government programmes/ schemes

Does institutional access vary between rich & poor ?

Institutional dependency & support service provisioning



		Institutions					
		Civic			Public	Private	
		Informal		Formal			
Purposes	Locations	Social	Customary		1		
	Uk	**			**	*	
	Nepal	*		*	*	**	
	Bhutan				***	*	
Basic needs	NE India	**	*	*	*	*	
	Uk			**		**	
	Nepal	*		**		*	
	Bhutan	*			*	**	
Access to resources	NE India	*	*	**		*	
	Uk			**	**		
	Nepal			**	**	*	
	Bhutan		*		***		
support services	NE India		*	**	**	*	
	Uk	**		**			
	Nepal			*	***		
	Bhutan	*		**	**		
Social capital/support	NE India	*	*	**	*		
	Notations: * <33% , ** 33-66% , *** >66%						

Seasonal Dependency Matrix: outputs (Endowment)



- Resources
 - Food security
 - Income security
- Resource availability
 - Time
 - Duration
- System dependency
- Off-farm dependency, time, duration
- Vulnerable months
 - Food
 - Income



Bridging the Gap: Making Local Governance more responsive



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Adaptation Learning Highways:

A horizontal & vertical information and knowledge management approach

- C2C (Community to community & local govt technical agencies)
 - Adaptive mechanisms; peer evaluation
 - Need identification, support services assessment
- CSI (Community-Scientists Interface)
 - Knowledge interface, validation of community mechanisms
 - Participatory technology development and assessments designing
- Policy/Decision makers Forum (Interface with policy makers)
 - Inform administration/policy makers of support service gaps & needs
 - Identify mechanisms to address gaps (action plans, policy)



Community-Scientists Interface Forum (CSIF)

- Knowledge exchange
- •Identification of promising adaptive mechanisms
- Designing participatory validation and monitoring framework
- •Result sharing, dissemination and upscaling framework



<u>State/Regional Consultative Workshop</u> <u>for formulating adaptive strategies</u>

- •Share findings, concerns and learning from C2C KEF, CSIF and FIP
- Policy action recommendations
- •Formulation of policy support for upscaling effective adaptive strategies



C2C Knowledge Exchange Forum (C2C KEF)

- ·Knowledge exchange
- Peer verification (acceptance)
- Peer assessment
- •Gap identification and need assessment (support services required)



Forum for exchange and interaction with local decision and policy makers (FIP)

- •Share issues, concerns and gaps in support system
- Identify means to make support system more responsive
- •Identify existing programmes and institutional mechanisms that can respond to community concerns
- Identify policy concerns



Thank you

ICIMOD



Panel 22: Synergies between Adaptation Toolkits and Methods in Practice



- What has been learnt from using adaptation toolkits in practice?
- How can they be combined or further improved for greater effectiveness?
- What type of knowledge and information are required to facilitate synergies between tools/approaches and methods in practice (or to overcome challenges)
- Is research required? If so, what type of research?
- What type of capacity building efforts are required to improve synergies?
- How do you take the idea forward?
- What are the missing links (stakeholders/sectors)